

| <u>Set Name</u> side by side | <u>Query</u> | <u>Hit Count</u> | <u>Set Name</u> result set |
|---------------------------------|--------------|------------------|-------------------------------|
|---------------------------------|--------------|------------------|-------------------------------|

DB=USPT,PGPB,JPAB,EPAB,DWPI; PLUR=YES; OP=ADJ

| | | | |
|------------|-------------------------------|---------|------------|
| <u>L17</u> | L16 same l4 | 24 | <u>L17</u> |
| <u>L16</u> | l15 same l10 | 86 | <u>L16</u> |
| <u>L15</u> | L14 with l2 with l9 | 1833 | <u>L15</u> |
| <u>L14</u> | dna or nucleic | 205382 | <u>L14</u> |
| <u>L13</u> | L12 and l3 | 3 | <u>L13</u> |
| <u>L12</u> | L11 same l4 | 14 | <u>L12</u> |
| <u>L11</u> | L10 with l9 with l2 | 53 | <u>L11</u> |
| <u>L10</u> | plasmid or vector or carrier | 1281636 | <u>L10</u> |
| <u>L9</u> | hybridized or binds or bound | 495494 | <u>L9</u> |
| <u>L8</u> | l6 with l2 | 3 | <u>L8</u> |
| <u>L7</u> | L6 with l5 | 0 | <u>L7</u> |
| <u>L6</u> | plasmid with hybridized | 1516 | <u>L6</u> |
| <u>L5</u> | l4 with l2 | 487 | <u>L5</u> |
| <u>L4</u> | conjugated or target sequence | 118313 | <u>L4</u> |
| <u>L3</u> | NLS | 1690022 | <u>L3</u> |
| <u>L2</u> | peptide nucleic acid or PNA | 29023 | <u>L2</u> |

DB=USPT; PLUR=YES; OP=ADJ

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|-----------|---------|---|-----------|
| <u>L1</u> | 6165720 | 4 | <u>L1</u> |
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END OF SEARCH HISTORY

=> d his

(FILE 'MEDLINE, CANCERLIT, EMBASE, BIOTECHDS, CAPLUS' ENTERED AT 20:50:36
ON 08 OCT 2003)

DEL HIS

L1 14085 S PEPTIDE NUCLEIC ACID OR PNA
L2 1015304 S HYBRIDIZ? OR COMPLEME?
L3 2455160 S PLASMID OR NUCLEIC OR DNA
L4 276017 S PLASMID
L5 93 S L4 AND L2 AND L1
L6 2285686 S CONJUGA? OR COMPLEX
L7 42 S L6 AND L5
L8 31 DUP REM L7 (11 DUPLICATES REMOVED)

=>

L8 ANSWER 26 OF 31 EMBASE COPYRIGHT 2003 ELSEVIER INC. ALL RIGHTS RESERVED.
 on STN DUPLICATE 6
 AN 1999272650 EMBASE
 TI A **peptide nucleic acid**-nuclear localization
 signal fusion that mediates nuclear transport of DNA.
 AU Branden L.J.; Mohamed A.J.; Smith C.I.E.
 CS L.J. Branden, Center for BioTechnology, Department of Biosciences,
 Karolinska Institutet, SE-14157 Huddinge, Sweden. lars.branden@cbt.ki.se
 SO Nature Biotechnology, (1999) 17/8 (784-787).
 Refs: 14
 ISSN: 1087-0156 CODEN: NABIF
 CY United States
 DT Journal; Article
 FS 027 Biophysics, Bioengineering and Medical Instrumentation
 029 Clinical Biochemistry
 LA English
 SL English
 AB We have combined a **peptide nucleic acid** (**PNA**) with the SV40 core nuclear localization signal (NLS), to
 create a bifunctional **PNA**-NLS peptide. The **PNA**- NLS
 peptide increased the nuclear uptake of oligonucleotides and enhanced the
 transfection efficacy of plasmids. Gene expression from an enhanced green
 fluorescent protein **plasmid** and a lacZ **plasmid** was
 preserved when **hybridized** to **PNA**-NLS. In combination
 with the transfection agent polyethyleneimine, we have improved both the
 nuclear translocation of fluorescence-marked oligonucleotides, and the
 efficacy of **plasmid** transfection, up to eightfold. The technique
 obviates the use of cumbersome coupling procedures of the vector due to
 DNA-**PNA** duplex formation or displacement of the antisense
plasmid DNA strand by a **PNA** molecule.

L8 ANSWER 23 OF 31 CAPLUS COPYRIGHT 2003 ACS on STN
 AN 1999:219937 CAPLUS
 DN 130:233243
 TI Complexes of nucleic acid with **p ptide nucleic acid conjugates** and their uses
 IN Felgner, Philip L.; Zelphati, Oliver; Bennett, C. Frank
 PA Gene Therapy Systems, Inc., USA; Isis Pharmaceuticals, Inc.
 SO PCT Int. Appl., 43 pp.
 CODEN: PIXXD2
 DT Patent
 LA English
 FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|--|------|----------|-----------------|----------|
| PI | WO 9913719 | A1 | 19990325 | WO 1998-US19503 | 19980918 |
| | W: | | | | |
| | AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM | | | | |
| | RW: | | | | |
| | GH, GM, KE, LS, MW, SD, SZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG | | | | |
| | CA 2303908 | AA | 19990325 | CA 1998-2303908 | 19980918 |
| | AU 9895708 | A1 | 19990405 | AU 1998-95708 | 19980918 |
| | EP 1014790 | A1 | 20000705 | EP 1998-949373 | 19980918 |
| | R: | | | | |
| | AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, FI | | | | |
| | JP 2001516562 | T2 | 20011002 | JP 2000-511361 | 19980918 |
| | US 6165720 | A | 20001226 | US 1998-224818 | 19981230 |
| PRAI | US 1997-59215P | P | 19970918 | | |
| | US 1998-87815P | P | 19980529 | | |
| | US 1998-87815 | A | 19980529 | | |
| | WO 1998-US19503 | W | 19980918 | | |

AB Complexes comprising a nucleic acid mol. and a **conjugated peptide nucleic acid (PNA)** are disclosed. The **PNA** may be labeled or **conjugated** to a protein, peptide, carbohydrate moiety or receptor ligand. These complexes are used to transfect cells and to monitor **plasmid** biodistribution, promote nuclear localization, induce transcriptional activation, lyse the endosomal compartment and facilitate transfection. These complexes increase the efficiency of expression of a particular gene. Thus, reporter gene-contg. **plasmid** complexed with **PNA-rhodamine** or **PNA-fluorescein conjugates** were prepd. These complexes were very stable in vitro and in vivo, they were not cleaved significantly by nucleases, and the presence of the **PNA** did not affect the biol. activity of the **plasmid**.

RE.CNT 3 THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD
 ALL CITATIONS AVAILABLE IN THE RE FORMAT

L8 ANSWER 20 OF 31 CAPLUS COPYRIGHT 2003 ACS on STN
 AN 2000:191243 CAPLUS
 DN 132:217994
 TI Transfer method using a novel synthetic transport entity for specific
 cellular localization of nucleic acids
 IN Branden, Lars; Mohamed, Abdalla J.; Smith, C. I. Edvard
 PA Karolinska Innovations A.B., Swed.
 SO PCT Int. Appl., 43 pp.
 CODEN: PIXXD2
 DT Patent
 LA English
 FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|---|------|----------|-----------------|----------|
| PI | WO 2000015824 | A1 | 20000323 | WO 1999-SE398 | 19990315 |
| | W: | | | | |
| | AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, | | | | |
| | DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, | | | | |
| | JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, | | | | |
| | MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, | | | | |
| | TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, AM, AZ, BY, KG, KZ, MD, | | | | |
| | RU, TJ, TM | | | | |
| | RW: GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW, AT, BE, CH, CY, DE, DK, | | | | |
| | ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, | | | | |
| | CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG | | | | |
| | AU 9931784 | A1 | 20000403 | AU 1999-31784 | 19990315 |
| | EP 1114172 | A1 | 20010711 | EP 1999-913793 | 19990315 |
| | R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, | | | | |
| | IE, FI | | | | |
| | JP 2002525066 | T2 | 20020813 | JP 2000-570351 | 19990315 |
| PRAI | SE 1998-3099 | A | 19980913 | | |
| | WO 1999-SE398 | W | 19990315 | | |

AB The present invention relates to a novel method of genetic modification,
 wherein a nucleic acid of interest is transferred across a biol. membrane,
 and/or directed to a specific location within or on a cell, by use of a
 synthetic transport entity. The transport entity according to the
 invention is new as such and produced by coupling a functional element
 (FE), such as a nuclear localization signal (NLS), an antennapedia peptide
 of a protein comprising both membrane translocation and nuclear transport
 properties, to a binding element (BE), such as a **peptide**
nucleic acid (PNA), preferably sepd. by a
 linker mol., which combination is then **hybridized** to a BE target
 sequence present on a carrier, which also includes the nucleic acid of
 interest. The present nucleic acid of interest may for example be a gene
 encoding a peptide, a protein or an RNA, or any other nucleic acid useful
 in genetic recombination events.